

**AMENDMENT TRANSMITTAL LETTER****After Final Rejection**

Application No. 10/014,112	Filing Date December 11, 2001	Examiner S. A. Cangialosi	Group Art Unit 3621
Applicant(s): Harold A. Ludtke and L. Michael Maritzen			Docket No. SONY 3.0-020


Invention: **SYSTEM AND METHOD FOR CONDUCTING SECURE TRANSACTION OVER A NETWORK****TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	21	- 108 =	0	x 50.00	0.00
Independent Claims	5	- 12 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>0.00</b>

- ☒ Large Entity ☐ Small Entity
- ☒ No additional fee is required for this amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 12-1095  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

  
Lawrence E. Russ  
Attorney Reg. No. 35,342

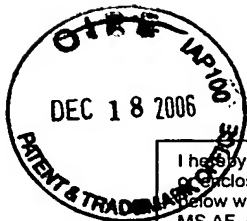
Dated: December 14, 2006

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP  
600 South Avenue West  
Westfield, New Jersey 07090  
(908) 518-6399

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Dated: December 14, 2006 Signature: *Lawrence E. Russ*

(Lawrence E. Russ)

**EXPEDITED PROCEDURE**

Group Art Unit: 3621

Docket No.: SONY 3.0-020

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of: :  
: Ludtke et al. :  
: Application No.: 10/014,112 : Group Art Unit: 3621  
: :  
: Filed: December 11, 2001 : Examiner: S. A.  
: Cangialosi  
: :  
For: SYSTEM AND METHOD FOR CONDUCTING :  
SECURE TRANSACTION OVER A  
NETWORK

**AMENDMENT UNDER 37 CFR 1.116**

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated October 5, 2006, finally rejecting claims 1, 2, 8-10, 12, 14, 109-114, and 116-123, please amend the above-identified U.S. patent application as follows: